Chemical vapour-deposited silicon nitride

Part 2 Density and formation mechanism

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Chemical vapour-deposited Si₃N₄ (pyrolytic Si₃N₄) has been prepared from a SiCl₄ + NH₃/H₂ system at 1100 to 1500° C under total pressures of 5 to 300 Torr. The densities of crystalline deposits are 3.15 to 3.18 g cm⁻³, nearly independent of the deposition conditions. On the other hand, the densities of amorphous deposits depend strongly on the deposition conditions and have a minimum value of 2.60 g cm⁻³ at 1200° C and 40 Torr. The deposition rate of Py–Si₃N₄ obeys a linear law. The rate of increase in thickness is markedly affected by the deposition conditions, its maximum value being 0.73 mm h⁻¹ for cystalline deposits at 1400° C and 40 Torr, and 0.36 mm h⁻¹ for the amorphous deposits at 1300° C and 40 Torr. The activation energies of formation of Py–Si₃N₄ are 30 to 33 and 53 kcal mol⁻¹ for the amorphous and crystalline deposits, respectively. The formation mechanism is also discussed.

1. Introduction

Chemical vapour-deposition (CVD) is playing an increasingly important role in the fabrication of intricate shapes and thin films for optical and protective coatings and solid state devices, and of massive products with a high degree of anisotropy for high temperature use. For all of these applications, the controlled deposition of structures and nonstoichiometry of materials is essential. An investigation of the mechanism of CVD would be useful to produce the desired properties.

Deposition of pyrolytic silicon nitride $(Py-Si_3N_4)$ by CVD has been carried out using various silicon compounds such as silane [1-8], silicon tetrafluoride [9], silicon tetrachloride [6-8, 10-12], silicon tetrabromide [13] and silica [14, 15] as the feed source of silicon. In general, $SiH_4 + NH_3$ and $SiCl_4 + NH_3$ systems were frequently employed for the preparation of thin amorphous $Py-Si_3N_4$ films in the electronic micro-device applications [1-7, 10-12, 16, 17].

For the SiH₄ + NH₃ system, the formation mechanism of thin films of $Py-Si_3N_4$ at low deposition temperatures (750 to 1150° C) has been relatively well established [10-12]. For the SiCl₄ + NH₃ system, there is an investigation by Grieco *et al.* on the formation mechanism of thin films [10]. However, little is known about the formation mechanism of massive Py-Si₃N₄.

Massive $Py-Si_3N_4$ up to 4.6 mm in thickness was prepared in our laboratory using the SiCl₄ + NH₃/H₂ system (H₂: carrier gas) under various deposition conditions. In Part 1 [18] we described the surface morphology, microstructure, colour, composition and cracking of $Py-Si_3N_4$. In this paper, the effects of the deposition temperature and total pressure on the density and deposition rate are investigated, and the formation mechanism of $Py-Si_3N_4$ under the various conditions is discussed.

2. Experimental procedure

2.1. Preparation of pyrolytic Si₃N₄

Py-Si₃N₄ was prepared on a directly heated graphite substrate by CVD. The reactant was a mixture of NH₃ and SiCl₄ carried with hydrogen gas. The detailed process has been described in Part 1 [18], and the deposition conditions of Py-Si₃N₄ are summarized in Table I. Fig. 1 shows the effect of the deposition temperature (T_{dep}) and the total pressure (P_{tot}) on the formation of amorphous and crystalline deposits [18]. The crystal structure of crystalline deposits prepared in this work was α -Si₃N₄, and free silicon and other silicon compounds were not identified by X-ray diffraction, as mentioned already [18].

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Constant conditions			
Heating method	Direct heating of graphite		
	substrate		
Flow rate	$SiCl_4 = 0.8$ (in liq.) cm ³ min ⁻¹		
	$NH_3 = 60 \text{ cm}^3 \text{ min}^{-1}$		
	$H_2 = 700 \text{ cm}^3 \text{min}^{-1}$		
Variable conditions			
Deposition temperature	1100 to 1700° C		
Deposition time	0.5 to 12 h		
Total gas pressure	5 to 300 Torr		

TABLE I The deposition conditions of Py-Si₃N₄

2.2. Density measurement

The specimens, 5×5 to 5×10 mm in size, were prepared by cutting deposits 0.1 to 4.6 mm in thickness together with the graphite substrate and then removing the graphite substrate in a 3HNO_{3} - $7\text{H}_2\text{SO}_4$ solution. The density of deposits was determined by a displacement technique using toluene.

2.3. Thickness measurement

The specimens were cut perpendicular to the substrate surface and then metallographically polished. The thickness of $Py-Si_3N_4$ was determined by averaging the values measured at about twenty points of the specimen by a travelling microscope.

2.4. Deposition rate

The relation between deposition and time is written as

$$(\Delta w)^n = kt, \tag{1}$$



Figure 1 Effect of deposition temperature (T_{dep}) and total pressure (P_{tot}) on the crystal structure of $Py-Si_3N_4$ [18].

where Δw , *n*, *k*, and *t* are the weight increase of the deposit per unit surface area of the substrate, the order of reaction, the deposition rate constant and the time, respectively. Since Δw is equal to the product of density (*D*) and thickness (*x*), we obtain

$$(Dx)^n = kt. (2)$$

The value of n was determined from the time dependence of the deposition.

3. Experimental results

3.1. Effect of total pressure on density

Fig. 2 shows the relationship between the density (D) and P_{tot} at T_{dep} of 1100 to 1400° C. As presented in Fig. 2, D of the amorphous Py–Si₃N₄ formed at 1100 and 1200° C decreased with increasing P_{tot} in the range 10 to 40 Torr, increased with P_{tot} in the range 40 to 60 Torr and reached a constant value of about 2.76 g cm⁻³. The lowest and highest values of D were 2.70 g cm⁻³ (85% of the theoretical density of α –Si₃N₄, D_{th} : 3.18 g cm⁻³) and 2.86 g cm⁻³ (90% of D_{th}) at



Figure 2 Effect of total pressure (P_{tot}) on density (D) obtained at $T_{dep} = 1100$ to 1400° C. A: amorphous, C: crystalline $(\alpha - Si_3N_4)$.



Figure 3 Effect of deposition temperature (T_{dep}) on density (D). Curves A, B, C, D, E and F for amorphous deposits, curves G, H and I for mixtures of amorphous and crystalline deposits, and curve J for crystalline deposits.

 1100° C, and $2.60 \,\mathrm{g \, cm^{-3}}$ (82% of D_{th}) and 2.83 g cm⁻³ (89% of D_{th}) at 1200° C, respectively. As shown in Fig. 2, D of the deposits prepared at 1300 and 1400° C increased abruptly at 40 and 20 Torr, respectively and decreased at 70 to 80 Torr at 1300° C. These critical P_{tot} values correspond to the pressure at which the amorphouscrystalline transformation occurs. The X-ray diffraction results indicate that the denser deposits are crystalline. At 1300° C, D was 2.88 g cm⁻³ for the amorphous deposits at P_{tot} below 40 Torr and above 80 Torr, and was 3.15 g cm⁻³ for the crystalline deposits between 40 and 80 Torr. The variation in D with P_{tot} at 1400° C was similar to that at 1300° C; D was 2.89 g cm⁻³ for the amorphous deposits at P_{tot} below 20 Torr and 3.18 g cm⁻³ for the crystalline deposits at P_{tot} above 20 Torr. It was likely from Fig. 2 that both D of the amorphous and crystalline deposits at 1300 and 1400° C are independent of P_{tot} in each region.

3.2. Effect of deposition temperature on density

The variation in D with T_{dep} is shown in Fig. 3; D was markedly affected by T_{dep} . In the case of the amorphous deposits (A to F), the minimum density

appeared at 1200° C and 2.60 g cm⁻³ (82% of $D_{\rm th}$). Values of D at the low $P_{\rm tot}$ value (5 or 10 Torr), however, increased gradually with $T_{\rm dep}$ between 1100 and 1450° C. The maximum density for the amorphous deposits was 2.90 g cm⁻³ (91% of $D_{\rm th}$) which was obtained at 1450° C and 5 Torr. Values of D for the crystalline deposits (J) were similar to $D_{\rm th}$ (99 to 100% of $D_{\rm th}$), and increased slightly with the increase of $T_{\rm dep}$. The curves G, H, I, which show the abrupt increase in D, correspond to the boundary conditions of 40, 20 and 5 to 10 Torr, respectively (see Fig. 1).

3.3. Effect of total pressure on deposition rate

Fig. 4 represents the time dependence of the thickness at 1300° C and 20, 40 and 50 Torr and at 1400° C and 40 Torr. The results at 1300° C and 20 Torr were obtained for the amorphous deposits, those at 1300° C, 50 Torr and at 1400° C, 40 Torr for the crystalline deposits, and those at 1300° C, 40 Torr for mixtures of the amorphous and crystalline deposits. In all cases, it is evident that the formation of Py-Si₃N₄ obeys a linear law, that is, *n* is unity. Therefore, Equation 2 leads to

$$k = Dx/t. \tag{3}$$

Fig. 5 shows the effect of P_{tot} on the rate of increase in thickness (x/t) at 1100 to 1500°C. The solid lines indicate the results for amorphous deposits, while the dotted lines for crystalline



Figure 4 Time dependence of thickness (x).



Figure 5 Effect of total pressure (P_{tot}) on the rate of increase in thickness (x/t). A: amorphous, C: crystalline $(\alpha-\text{Si}_3\text{N}_4)$.

deposits. As given in Fig. 5, the maximum value of x/t was 0.36 mm h⁻¹ for the amorphous deposit and $0.73 \,\mathrm{mm}\,\mathrm{h}^{-1}$ for the crystalline deposit prepared at 1300 and 1400° C, respectively, at 40 Torr. The effect of P_{tot} on x/t appears to become stronger with increase in T_{dep} . With increasing P_{tot} the values of x/t of amorphous and crystalline deposits increased and decreased at P_{tot} below and above 40 Torr, respectively. Fig. 6 shows the effect of P_{tot} on the deposition rate constant (k), which were calculated from the D and x/t data, using Equation 3. As shown in Fig. 6, the appearance of crystalline deposits resulted in a decrease in k and this decrease corresponds to the increase in P_{tot} at 1300° C. At 1400° C, however, k increased with the increase of P_{tot} up to 40 Torr, even after crystalline deposits appeared. At high P_{tot} above 80 Torr, k was small and almost independent of P_{tot} .

3.4. Effect of deposition temperature on deposition rate

The relations between k and the reciprocal deposition temperature $(1/T_{dep})$ under various deposition conditions are given in Fig. 7. All the datum points lie on straight lines at 10 and 20 Torr, while the plot of k versus $1/T_{dep}$ at 40 and 50 Torr consists of two straight lines. At P_{tot} of 40 and 50



Figure 6 Effect of total pressure (P_{tot}) on deposition rate constant (k). A: amorphous, C: crystalline $(\alpha-Si_3N_4)$.

Torr, the gradients below about 1300° C nearly equal those at 10 and 20 Torr. The gradients above 1300° C, however, appeared to be larger than the others. The former was obtained for the crystalline deposits and the latter for the amorphous deposits. This suggests that the formation mechanism of Py-Si₃N₄ differs as between the amorphous and



Figure 7 Arrhenius plot of the deposition rate constant (k) under various deposition conditions. A: amorphous, C: crystalline $(\alpha - Si_3N_4)$.

Crystal structure*	P _{tot} (Torr)	T _{dep} (° C)	Activation energy, <i>E</i> (kcal mol ⁻¹)	Reaction type
A	10	1100~1500	31.6	1
Α	20	1100~1400	30.8	1
Α	40	1100~1300	32.8	1
Α	50	1100~1250	29.6	1
C	50	1250~1400	53.4	2

TABLE II Calculated activation energies of formation of Py-Si₃N₄ under various deposition conditions

* A: amorphous, C: crystalline (α -Si₃N₄).

crystalline deposits. The activation energies (E) of formation of Py-Si₃N₄ were calculated from Fig. 7 to be 30 to 33 kcal mol⁻¹ for the amorphous deposits and about 53 kcal mol⁻¹ for the crystalline deposits as listed in Table II.

4. Discussion

4.1. Density

For amorphous thin films prepared at 950° C, Deal *et al.* [11] and Doo *et al.* [2] reported the *D* values of 3.2 g cm⁻³ (x/t below 0.0072 mm h⁻¹) and 3.02 to 3.21 g cm⁻³ (x/t below 0.003 mm h⁻¹), respectively. These values are over D_{th} (3.18 g cm⁻³). Airey *et al.* [8] prepared amorphous coating with *D* of 2.60 to 2.85 g cm⁻³ (x/t below 0.4 mm h⁻¹) at 900 to 1100° C and 2.85 to 3.02 g cm⁻³ (x/t below 0.15 mm h⁻¹) at 1150 to 1330° C. Chu *et al.* [6] obtained amorphous thin films at 850 to 1100° C and x/t below 0.002 mm h⁻¹. Their results are included in Fig. 8



Figure 8 Relation between the rate of increase in thickness (x/t) and density (D) for amorphous deposits. A: amorphous, C: crystalline $(\alpha - Si_3N_4)$. [*1]: this work, [*2]: Chu *et al.* [6] in the SiH₄ + NH₃/NH₃ system.



Figure 9 Relation between deposition temperature (T_{dep}) and density (D). A: amorphous, C: crystalline ($\alpha - Si_3N_4$).

which shows the relation between D and x/t. At 1100 and 1200° C, D increases with decreasing x/t. This indicates that slower x/t forms denser deposits at a constant T_{dep} below 1200° C. At 1300 and 1400° C, D is independent of x/t in this experimental range. At x/t below 0.05 mm h⁻¹, however, D may increase abruptly.

The relations between D and T_{dep} as reported by Airey *et al.* [8] and Chu *et al.* [6] for low x/tare shown in Fig. 9 and compared with the present results obtained with high rates of x/t. The value of D obtained by extraporating the curve of Chu *et al.* to 1300° C is close to the D values of crystalline deposits (3.15 g cm⁻³). Airey *et al.* [8] prepared the crystalline deposits with 98 to 100% of D_{th} at 1180 to 1380° C and x/t below 0.035 mm h⁻¹, which is also shown in Fig. 9. In this work, the crystalline deposits with 99 to 100% of D_{th} were obtained at 1300 to 1500° C and x/tbelow 0.73 mm h⁻¹. The temperature dependence of D for crystalline deposits is in good agreement with the data of Airey *et al.* [8]. Galasso *et al.* [9] obtained 97 to 100% of D_{th} for the crystalline deposits at 1100 to 1550° C and x/t = 0.00254 to 0.0254 mm h⁻¹.

The values of D described above were obtained in various kinds of reactant systems. No remarkable changes in the results were found. For crystalline deposits, D is almost independent of x/t, while for amorphous deposits, D is strongly affected by x/t.

As reported in Part 1 [18], the several types of microstructures of the surface and the cross-section were observed in deposits prepared under various deposition conditions. For crystalline deposits, Dis independent of the microstructure. For amorphous deposits, however, D is closely related to the microstructure, that is, the microstructure of a deposit with the lowest D of $2.60 \,\mathrm{g \, cm^{-3}}$ was composed of primary and secondary cones and that with D of 2.85 g cm⁻³ was composed of primary cones. This behaviour is quite similar to that observed in pyrolytic graphite [19]. Observations of the cross-sectional surface of amorphous deposits with cone structures revealed that Ddecreased with increasing number of cone boundaries. At cone boundaries the amorphous crystallites are aligned in a disordered state, whereupon the intercrystallite porosity increases and consequently D decreases. For the crystalline deposits, most of the crystals have a preferred orientation and there is no mismatch among crystals; the value of D is high.

4.2. Deposition rate

Swann *et al.* [20] reported that the rate of increase in thickness of amorphous thin films obeyed a linear law in the case of decomposition of a $SiH_4 + NH_3$ system by a radio frequency glow discharge at $T_{dep} = 300^{\circ}$ C and $P_{tot} = 10^{-1}$ Torr. For massive deposits, unfortunately, the time dependence of the thickness seems to be unknown. As shown in Fig. 4, the present experiments clarified that the deposition rates of both amorphous and crystalline $Py-Si_3N_4$ obeyed a linear law (Equation 3).

One of the purpose of this experiment is to prepare $Py-Si_3N_4$ at high deposition rates. Table III lists the data of relatively high x/t reported by other workers. Many works have been carried out at low rates of x/t (a few microns per hour), which are not included in Table III.

Grieco *et al.* [10] reported that x/t increased linearly with the partial pressure of SiCl₄ between 0.07 and 2 Torr at a constant NH₃ partial pressure, and that x/t was independent of NH₃ concentration from 4 to 70 Torr at a constant SiCl₄ partial pressure. Kohler [7] reported that x/t increased with increase in SiCl₄ flow rate, but was independent of NH₃ flow rate in the range of 50 to 150 cm³ min⁻¹. From these results, it is reasonable that x/tincreases linearly with P_{tot} up to 40 Tor (Fig. 5).

On the other hand, SiCl₄ reacts with NH_3 even at room temperature [10, 21–23].

$$SiCl_4 + 6NH_3 \rightarrow Si(NH)_2 + 4NH_4Cl.$$
 (4)

 $Si(NH)_2$ polymerizes readily with increasing temperature, and its pyrolysis yields α -Si₃N₄ according to the following equation:

$$6[Si(NH)_{2}]_{n} \frac{400^{\circ} C}{-2NH_{3}} 2[Si_{3}(NH)_{3}N_{2}]_{n} \frac{650^{\circ} C}{-NH_{3}}$$
$$3[Si_{2}(NH)N_{2}]_{n} \frac{1200^{\circ} C}{-NH_{3}} 2\alpha - Si_{3}N_{4}.$$
 (5)

As seen in Equations 4 and 5, various intermediates contribute to form Si_3N_4 . In the case of high concentrations of the reactants, these intermediates nucleate and grow in the gas phase to form fine powders Si_3N_4 before the deposition of $Py-Si_3N_4$

Reactants/carrier	T _{dep} (° C)	P _{tot} (Torr)	Crystal structure*	Deposition rate (mm h ⁻¹)	Reference
$SiCl_4 + NH_3/H_2$	1000~1200	760	Å	0.06	6
$SiH_4 + NH_3/N_2(H_2)$	800~1200	760	Α	0.4	8
$SiH_4 + NH_2/H_2$	600~1200	760	A + C	0.018	1
$SiF_4 + NH_3$	1100~1550	1~10	С	0.0254	9
$SiCl_4 + NH_2/N_2$ (Ar)	1150~1330	760	Α	0.15	8
$SiCl_4 + NH_3/N_2$ (Ar)	1180~1380	760	С	0.035	8
$SiCl_4 + NH_3/H_2$	$1100 \sim 1500$	5~300	Α	0.36	this work
$SiCl_4 + NH_3/H_2$	1300~1500	10~70	С	0.73	this work

TABLE III Experimental data on relatively high deposition rates of Py-Si₃N₄

* A: amorphous, C: crystalline (α -Si₃N₄).

onto the substrate. Consequently, the deposition rate is lowered at higher P_{tot} . These two processes overlap and may result in the maximum deposition rate at 40 Torr as shown in Fig. 5. Intermediates have different structures according to decomposition temperature, which may be related to the formation of amorphous and crystalline deposits [24].

Airey et al. [8] found that crystalline coatings were obtained at below $x/t = 0.005 \text{ mm h}^{-1}$ and 0.035 mm h^{-1} at 1180 and 1330° C, respectively, and that amorphous coatings were produced above these values at each temperature. Their results indicate that crystal structures depend on the deposition rate. They also reported that crystalline coatings were formed by crystallization and densification of amorphous coatings on the substrate after deposition. However, the time dependence of the density was not observed in the present experiments.

For the SiH₄ + NH₃/H₂ and SiH₄ + N₂H₄/H₂ systems, x/t reached saturated values at 750 to 1000° C or showed the maximum x/t at about 1000° C in relation between x/t and $1/T_{dep}$ [1, 2, 4, 6]. Doo et al. [2] considered that the decrease of x/t at above 1000° C was probably caused by a decrease of the SiH₄ concentration near the substrate due to a premature decomposition of SiH₄. Furthermore, Sladek [25] discussed theoretically the decrease of x/t as being due to the transition of a completely heterogeneous to a partly homogeneous gas reactions causing cluster formation in the gas phase. In the present experiments (the SiCl₄ + NH_3/H_2 system), however, the decrease of x/t was not observed in the range of 1100 to 1500° C below 60 Torr (Fig. 5 and cf. Fig. 7).

The apparent activation energies (E) found by other workers for the formation of amorphous Py-Si₃N₄ thin films are listed in Table IV. Yoshika et al. [4] reported that E (54 kcal mol⁻¹) of the amorphous Py-Si₃N₄ formation in a SiH₄ + N₂H₄/ H₂ system at 550 to 650° C was close to the dissociation energy of N-N bond of N₂H₄. Consequently, they considered that the N-N bond rupture of N₂H₄ is the rate-determining step for the film formation. Other workers have given no interpretation to meanings of the values of the activation energy obtained.

In this work, E for Py-Si₃N₄ formation is divided into two varieties; 30 to 33 kcal mol⁻¹ for amorphous deposits (reaction 1) and ~ 50

TABLE IV Literature data on activation energies of formation of amorphous $Py-Si_3N_4$ ($P_{tot} = 760$ Torr)

T _{dep} (°C)	E (kcal mol ⁻¹)	Refer- ence
750~1000	26.5	2
1000~1100	negative	2
800~950	17	6
950~1200	*	6
700~900	52	1
900~1150	6	1
550~650	54	4
650~1150	*	4
850~1225	15	10
	$\begin{array}{c} T_{dep} \\ (^{\circ}C) \\ \hline \\ 750 \sim 1000 \\ 1000 \sim 1100 \\ 800 \sim 950 \\ 950 \sim 1200 \\ 700 \sim 900 \\ 900 \sim 1150 \\ 550 \sim 650 \\ 650 \sim 1150 \\ 850 \sim 1225 \end{array}$	$\begin{array}{ccc} T_{dep} & E \\ (^{\circ}C) & (kcal \\ mol^{-1}) \end{array} \\ \hline 750 \sim 1000 & 26.5 \\ 1000 \sim 1100 & negative \\ 800 \sim 950 & 17 \\ 950 \sim 1200 & * \\ 700 \sim 900 & 52 \\ 900 \sim 1150 & 6 \\ 550 \sim 650 & 54 \\ 650 \sim 1150 & * \\ 850 \sim 1225 & 15 \end{array}$

^{*} No values are given because x/t is almost independent of T_{dep} .

kcal mol⁻¹ for crystalline deposits (reaction 2), as shown in Table II. The formation of $Py-Si_3N_4$ includes complex processes such as decomposition and reaction of reactants, polymerization of products and growth of nuclei in the gas phase or on the deposition surface. Unfortunately, there are almost no thermochemical data concerning various complex intermediates in the SiCl₄ + NH₃ system. Therefore, it is difficult to define the meaning of *E* and subsequently the formation mechanism of massive $Py-Si_3N_4$. The present results imply that the formation mechanism of crystalline deposits differs from that of amorphous deposits.

5. Conclusions

(1) For amorphous deposits, D has the minimum value at 40 Torr; 2.70 g cm⁻³ at 1100° C and 2.60 g cm⁻³ at 1200° C. The D value of crystalline deposits is 3.15 to 3.18 g cm⁻³, independent of P_{tot} .

(2) The relation between T_{dep} and D for amorphous deposits shows the minimum value at 1200° C, which is remarkable at 40 Torr. For crystalline deposits D increases slightly with T_{dep} in the range of 1300 to 1500° C.

(3) The deposition rate of $Py-Si_3N_4$ obeys a linear law.

(4) The effect of P_{tot} on x/t is marked at high T_{dep} . At 40 Torr x/t has a maximum value, for example 0.73 mm h⁻¹ at 1400° C and 0.1 mm h⁻¹ at 1100° C.

(5) The Arrhenius plots of k indicates straight lines at 10 and 20 Torr and broken lines at 40 and 50 Torr. The activation energies of $Py-Si_3N_4$ formation are 30 to 33 and 53 kcal mol⁻¹ for the amorphous and crystalline deposits, respectively.

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